



2834

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicant:  
Rudy C.T. Wodrich et al.

Group Art No.: 2836

Serial No.: 09/752,028

Examiner: Not Assigned

Filed: December 29, 2000

For: "Zero Threshold Surge Suppressor"

Atty Docket: SQD-100

RECEIVED

PETITION FOR FILING DATE AND ACCEPTANCE OF  
SUBSTITUTE/FORMAL DRAWINGS

JUL 12 2001

OFFICE OF PETITIONS  
DEPUTY A/C PATENTS

Applicants concurrently petition to correct the filing date for the above-referenced application.

Applicants filed the above-reference application on December 29, 2000 with informal drawings. The Office mailed the Notice to File Missing Parts (Filing Date Granted) on February 13, 2001. At the same time on the same notice, the Office requested "substitute" drawings. The Notice to File Missing Parts was received by Applicants' attorney on February 22, 2001 (attached as Exhibit A) and was docketed for the two-month response of April 13, 2001. However, the "new rule" notice regarding substitute drawings was inadvertently overlooked. The Response to the Notice to File Missing Parts was filed February 23, 2001 without the substitute drawings.

Applicants are filing the "substitute/formal" drawings along with this Petition wherein Applicants state that the failure to timely submit these drawings was an inadvertent error, unintentional and was not noted until the "updated filing receipt mailed May 2, 2001 was received with the filing date changed to February 26, 2001 (the date of the receipt of the Declaration and Power of Attorney) (attached as Exhibit B).

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JUL - 1 2001  
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05/16/2001 BNGUYENI 00000070 09752028

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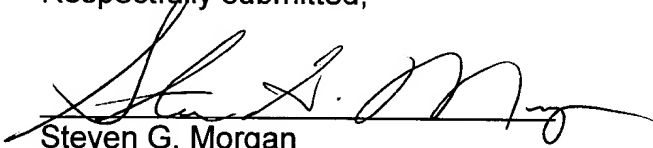
130.00 OP

Due to the inadvertent error, Applicants respectfully petition that the filing date be corrected to December 29, 2000 and that the enclosed substitute/formal drawings be accepted.

A check in the amount of \$130.00 is enclosed to cover the filing fee of the petition. The Commissioner is also authorized to charge any overpayment or credit any underpayment deemed associated with this petition to Deposit Account No. (13-0010 SQD-1008US).

Respectfully submitted,

Date: May 11, 2001



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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that this correspondence, along with any referred to as enclosed or attached, is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on this 11th day of May, 2001.



Beth Pearson-Naul



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/752,028	12/29/2000	Rudy Christian Thomas Wodrich	SQD-1008US (PQC-1)

CONFIRMATION NO. 8747

## FORMALITIES LETTER



\*OC000000005758953\*

STEVEN G. MORGAN  
MADAN, MOSSMAN & SRIRAM P.C.  
SUITE 700  
2603 AUGUSTA  
HOUSTON, TX 77057

## DOCKETED

For Date/Time: 4-13-01Docketed By: LeeAction: File Missing Parts

Date Mailed: 02/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

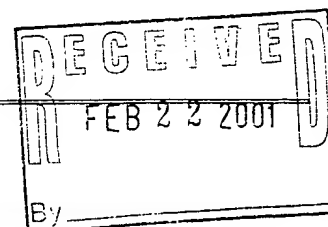
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



*A copy of this notice MUST be returned with the reply.*

*Abigail J. Leung*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/752,028	02/26/2001	2836	840	PQC-1	5	17	2

SQD-1008

CONFIRMATION NO. 8747

## UPDATED FILING RECEIPT



\*OC000000006032845\*

STEVEN G. MORGAN  
MADAN, MOSSMAN & SRIRAM P.C.  
SUITE 700  
2603 AUGUSTA  
HOUSTON, TX 77057

Date Mailed: 05/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Rudy Christian Thomas Wodrich, Etobicoke, CANADA;  
Tommy Szechin Mok, Thornhill, CANADA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/173,819 12/30/1999

## Foreign Applications

If Required, Foreign Filing License Granted 02/12/2001

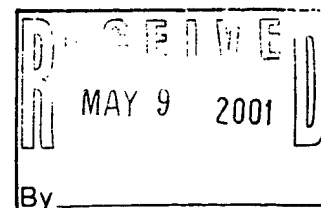
Projected Publication Date: 08/29/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Zero threshold surge suppressor



**Preliminary Class**

361

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**Data entry by : KUANG, SHARON**

**Team : OIPE**

**Date: 05/02/2001**

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231